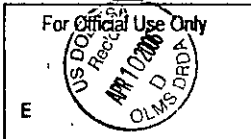


# FORM LM-30

## LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <b>4137</b>	2. Fiscal Year Covered From: <b>1</b> / <b>1</b> / <b>2005</b> Through: <b>12</b> / <b>31</b> / <b>2005</b>
3. Name and address of person filing. Name <b>Stephen</b> <b>P</b> <b>Gault</b> P.O. Box, Bldg., Room No., if any Street <b>4201 Caroline St.</b> City <b>Houston</b> State <b>Texas</b> ZIP Code + 4 <b>77004-4891</b>	4. Name, file number, and address of labor organization. Name <b>UFCW Local 408</b> Labor Organization File Number <b>026-087</b> P.O. Box, Building and Room Number, if any Street <b>4201 Caroline St.</b> City <b>Houston</b> State <b>Texas</b> ZIP Code + 4 <b>77004-4891</b>
5. Position in labor organization. <b>President</b>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income.  7.b. Amount. <div>\$0</div>

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)		
Signed	On <b>03/31/2005</b> Date	<b>713-526-5121</b> Telephone Number

Name of Person Filing Stephen Gault	File Number U-
-------------------------------------	----------------

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <input type="text" value="National Pacific Dental"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text" value="Suite 500"/></p> <p>Street <input type="text" value="1445 North Loop West"/></p> <p>City <input type="text" value="Houston"/></p> <p>State <input type="text" value="Texas"/> ZIP Code + 4 <input type="text" value="77008"/></p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name <input type="text"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text"/></p> <p>Street <input type="text"/></p> <p>City <input type="text"/></p> <p>State <input type="text"/> ZIP Code + 4 <input type="text"/></p>	<p>11.a. Nature of such dealing.</p> <p><input type="text" value="Local 408's dental company."/></p> <p>11.b. Approximate dollar value of such dealing. <input type="text" value="\$76,935"/></p> <p>12.a. Nature of interest held or income received.</p> <p><input type="text" value="Lunch meeting (9/13/05) w/NPD, Spectera (our vision co.) &amp; Mo Hodos (Nat'l Trust Fund) on administration issues in Fund merger. Rick Tisch (NPD) paid for lunch."/></p> <p>12.b. Amount. <input type="text" value="\$20"/></p>

<p>C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.</p>	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name <input type="text"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text"/></p> <p>Street <input type="text"/></p> <p>City <input type="text"/></p> <p>State <input type="text"/> ZIP Code + 4 <input type="text"/></p>	<p>14.a. Nature of payment.</p> <p><input type="text"/></p>
<p>13.b. Is the Business an Employer <input type="checkbox"/> or Consultant <input type="checkbox"/> ?</p>	<p>14.b. Amount of payment. <input type="text" value="\$0"/></p>

Name of Person Filing Stephen Gault	File Number U-
-------------------------------------	----------------

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <input type="text" value="National Pacific Dental"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text" value="500"/></p> <p>Street <input type="text" value="1445 North Loop West"/></p> <p>City <input type="text" value="Houston"/></p> <p>State <input type="text" value="Texas"/> ZIP Code + 4 <input type="text" value="77008"/></p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name <input type="text"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text"/></p> <p>Street <input type="text"/></p> <p>City <input type="text"/></p> <p>State <input type="text"/> ZIP Code + 4 <input type="text"/></p>	<p>11.a. Nature of such dealing.</p> <p><input type="text" value="Local 408's dental company."/></p> <p>11.b. Approximate dollar value of such dealing. <input type="text" value="\$76,935"/></p> <p>12.a. Nature of interest held or income received.</p> <p><input type="text" value="On 9/15/05 Ken Sheldon w/ NPD gave his personal baseball tickets to Local 408 to give out to members. Two were given to J.D. Ramirez and two given to Steve Crianza."/></p> <p>12.b. Amount <input type="text" value="\$75"/></p>

Name of Person Filing Stephen Gault	File Number U-
-------------------------------------	----------------

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name <input type="text" value="National Pacific Dental"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text" value="500"/></p> <p>Street <input type="text" value="1445 North Loop West"/></p> <p>City <input type="text" value="Houston"/></p> <p>State <input type="text" value="Texas"/> ZIP Code + 4 <input type="text" value="77008"/></p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name <input type="text"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text"/></p> <p>Street <input type="text"/></p> <p>City <input type="text"/></p> <p>State <input type="text"/> ZIP Code + 4 <input type="text"/></p>	<p>11.a. Nature of such dealing.</p> <p><input type="text" value="Local 408's dental company."/></p> <p>11.b. Approximate dollar value of such dealing. <input type="text" value="\$76,935"/></p> <p>12.a. Nature of interest held or income received.</p> <p><input type="text" value="Ken Sheldon w/NPD &amp; Dr. Grant luncheon (10/12/05) on NPD issues and improvement goals. Mr. Sheldon paid for lunch."/></p> <p>12.b. Amount. <input type="text" value="\$15"/></p>

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Kraft Foods, Inc.

Trade Name, if any: Maxwell House Coffee

P.O. Box, Bldg., Room No., if any

Street 3900 Harrisburg Blvd.

City Houston

State Texas ZIP Code + 4 77003

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

## 11.a. Nature of such dealing.

Coffee Plant Local 408 represents.

11.b. Approximate dollar value of such dealing.

\$76,935

## 12.a. Nature of interest held or income received.

On 12/8/2005 had lunch with new Personnel Manager, Miguel Lopez. Mr. Lopez paid for lunch.

12.b. Amount.

\$15

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name National Pacific Dental

Trade Name, if any:

P.O. Box, Bldg., Room No., if any 500

Street 1445 North Loop West

City Houston

State Texas

ZIP Code + 4 77008

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

Local 408's dental company.

11.b. Approximate dollar value of such dealing.

\$76,935

## 12.a. Nature of interest held or income received.

NPD sent a Christmas basket.

12.b. Amount.

\$35

Name of Person Filing Stephen Gault

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Dennis Jenkins, CPA

Trade Name, if any:

P.O. Box, Bldg., Room No., if any Bldg 1200, Suite 1250

Street 1301 Shiloh Rd

City Kennesaw

State Georgia

ZIP Code + 4 30144

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

Local 408's CPA.

11.b. Approximate dollar value of such dealing.

\$76,935

## 12.a. Nature of interest held or income received.

Mr. Jenkins sent a Christmas ham.

12.b. Amount.

\$30